



PATENT  
Docket No.: 09163-20901

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Allen et al.  
Serial No. : 09/929,886      Group Art Unit : 3692  
Filed : August 15, 2001      Examiner : Chencinski  
For : ***METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF A SECURITIES TRANSACTION***

**AMENDMENT AND REQUEST FOR RECONSIDERATION**  
**(37 C.F.R. § 1.116)**

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Sir:

This Amendment and Request for Reconsideration is submitted with a Request for Continued Examination and is in response to an outstanding Office Action (**Final Rejection**) dated November 24, 2008.

Amendments to the claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 17 of this paper.